

PATENT

Case Docket No. 36856.1186

Date: December 2, 2003

Mail Stop PATENT APPLICATION  
 COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application f:

Inventor(s): Michio KADOTA, Hideya HORIUCHI, Junya AGO, Takeshi NAKAO, and Yasuhiro KURATANI  
 For: END-SURFACE REFLECTION TYPE SURFACE ACOUSTIC WAVE FILTER

This is a ☐ CONTINUING ☒ DIVISIONAL ☐ CONTINUATION-IN-PART of prior application Serial No. 10/218,017 Filed August 14, 2002

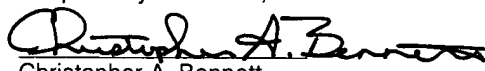
## Enclosed are:

- ☐ 18 Pages of Specification
- ☐ 14 Sheet(s) of drawing (☒ formal ☐ informal)
- ☒ Copy of Declaration and Power of Attorney from prior application 10/218,017 (for continuing and divisionals).
- ☒ An assignment to **Murata Manufacturing Co., Ltd. of 26-10 Tenjin 2-chome, Nagaokakyo-shi, Kyoto-fu 617-8555, JAPAN** was filed and recorded in the prior application on October 1, 2002, Reel 013341, Frame 0945.
- ☒ A certified copy of Japanese Patent Application Nos. **2001-246249** filed **August 14, 2001** and **2002-210355** filed **July 19, 2002** from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. were filed in prior application No. **10/218,017**
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Copy of Revocation of Power of Attorney, Power of Attorney and Statement Under 37 CFR 3.73(b) filed in prior application \_\_\_\_\_
- ☐ Change of Correspondence Address
- ☒ Incorporation by Reference - The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated above, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ DELETION OF INVENTOR(S) - Signed statement attached deleting inventor(s) in the prior application.
- ☐ Information Disclosure Statement, Form PTO 1449.
- ☒ Preliminary Amendment
- ☒ General authorization/request to Petition for Extensions of Time
- ☒ Return Postcard

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE				\$385.00	OR		\$770.00
TOTAL CLAIMS	3	-0-	X 9	\$	OR	X 18	\$-0-
INDEP CLAIMS	1	-0-	X 40	\$	OR	X 86	\$-0-
<input type="checkbox"/> MULTIPLE			X+ 130	\$	OR	+ 260	\$
DEP CLAIMS PRESENTED			TOTAL =			TOTAL:	\$770.00

- ☐ Please charge my Deposit Account No. 50-1353 the amount of \$
- ☒ Credit Card Payment Form in the amount of **\$770.00** to cover the filing fee is enclosed.
- ☐ Credit Card Payment Form for \$ covering the Assignment Recordation Fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-1353**.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1353.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,

  
 Christopher A. Bennett  
 Registration No. 46,710

KEATING & BENNETT LLP  
 10400 Eaton Place, Suite 312  
 Fairfax, VA 22030  
 (703) 385-5200

19270 U.S. PTO  
 10/725872

120203

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of: Michio KADOTA, Hideya HORIUCHI, Junya AGO, Takeshi  
NAKAO, and Yasuhiro KURATANI**

**For: END-SURFACE REFLECTION TYPE SURFACE ACOUSTIC WAVE FILTER**

New Divisional Application of Parent Serial No. 10/218,017

Filing Date: December 2, 2003

---

**CERTIFICATE OF MAILING**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

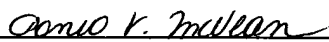
Date of Deposit: December 2, 2003

Express Mail No. EL629609015US

I hereby certify that an application for patent, including:

18 pages of Specification (which includes 19 claims and a one-page Abstract); 16 Sheets of formal Drawings; a copy of the executed Combined Declaration and Power of Attorney from prior application 10/218,017; a Credit Card Payment Form (PTO-2038) authorizing a charge to my credit card in the amount of \$770.00 to cover the filing fee; a Preliminary Amendment; Transmittal Letter; General Authorization/Request to Petition for Extensions of Time; and Return Postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: December 2, 2003

  
\_\_\_\_\_  
Sonia V. McVean

VIA EXPRESS MAIL NUMBER EL629609015US  
PATENT  
36856.1186

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michio KADOTA et al.	Parent Application Serial
Serial No.: Unknown	Number: 10/218,017
Filed: Concurrently herewith	Parent Application Filing
Title: END-SURFACE REFLECTION TYPE SURFACE ACOUSTIC WAVE FILTER	Date: August 14, 2002

**GENERAL AUTHORIZATION/REQUEST TO PETITION FOR EXTENSIONS OF TIME**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With reference to the subject application, and pursuant to 37 C.F.R. § 1.136, Applicant(s) hereby authorize(s) and request(s) the Commissioner to treat any correspondence requiring a petition for extension of time as containing such a request therefor for the appropriate length of time. This general authorization is effective during the pendency of this application, including any division or continuing application therefrom.

General Authorization/Request  
To Petition for Extensions of Time  
December 2, 2003  
Page 2 of 2

Where no check is received by the Commissioner, you are hereby authorized to charge payment of the requisite petition fees, or charge any additional fee required under 37 C.F.R. § 1.17, or credit any overpayment of same, to Deposit Account No. 50-1353.

Respectfully submitted,

Date: December 2, 2003

  
Attorneys for Applicant(s)

Joseph R. Keating  
Registration No. 37,368

Christopher A. Bennett  
Registration No. 46,710

**KEATING & BENNETT LLP**  
10400 Eaton Place, Suite 312  
Fairfax, VA 22030  
Telephone: (703) 385-5200  
Facsimile: (703) 385-5080